

## **TRACES New Technology**





# Release Notes V.5.4.0

Updated: October 2020



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## **EU Import web services:**

- Web service to submit electronically signed IMPORT XML certificates
- Web service to retrieve electronically signed IMPORT PDFs

## **CHED-PP:**

• Bug fix where the subsequent CHED after transfer to control point could not be finalized if the control point was modified after the first CHED was signed and before the subsequent was initiated.

## **Interception notifications:**

• Some generic "error 500" messages have been improved to better describe what the issue is in the interception notification.

## **PHYTO:**

• Modification of box 7, "entry point", to allow selection of a BCP (should be used for sending commodities to the EU), a UN/LOCODE (city name) or a country name. These modifications have been done in order to prepare TNT to the use of the PHYTO certificate for export out of the EU.



7. Declared	Point of Entry				*
Border	r Control Post				
Name	Roissy Charles-De-Gaulle FRCDG4			<b>I</b> ∉ Edit	
Country	France	ISO Code	FR	Q Advanced	
		0000		🛱 Clear	
O UN/LO	CODE y				

• New optional box to select a transit country

Transit countries				
Country	· [	No country selection		× 9
Country			ISO Code	
		No transit country		
				Remove All



## COI:

### Improvement - E-seal is printed on COI Extracts PDF

When a COI extract is digitally signed, the endorsing authority e-seal is now printed in the pdf:

13. Declaration of the relevant Member State's competent authority endorsing the extra	ct of the certificate.
This extract corresponds to the batch described above and obtained by the splitting of a inspection with the serial number mentioned in box 3:	consignment which is covered by an original certificate of
Member State: Douane Rotterdam Rijnmond - Netherlands (NL)	
Date: 12 October 2020 15:34:48 +0200 CEST	
Name and signature of authorised person	Stamp
Endorsing Auhtority USER EIGHT	EU DG Health and Food Safety
	Connect types of them 2009-10-10 10.5616 Connections types - Band Annuales - Microsoft (Microsoft 2019) Reporting - Microsoft (Microsoft 2019)

#### **Improvement - OFIS Notification button**

An **authority** user from the country of entry is now able to report an **OFIS irregularity** when COI is in the status "**Issuing Body Declaration Signed**", in addition to the options "**Consignment Verified**", "**First Consignee Declaration Signed**" and "**Base for extract**", were this was already possible.

The "**Notify irregularities**" button is available from inside of the certificate, located at the bottom of the page, when clicking on the button "**More**":

COI.BR.2020.0000436			
Certificate	ຈ	Carrent Markes BASE FOR EXTRACT	Next Final Status
1 Issuing control body or authority (name, address and co	de)	2 Procedure	
Name IBD Certificações Ltda.		Council Regulation No 834/2007, /	Article 33 (2)
Country Brazil ISO BR	E.	<ul> <li>Council Regulation No 834/2007, 7</li> </ul>	Article 33 (3)
3 Serial number of the certificate of inspection		4 Exporter (name and address)	
Close Created by Issuing Body ANNEX FOUR USER TEN on 19740	2020 10 16 39 +92 00 CENT	Mo	te      A Proview PDF      A Mark as deprived

Clicking on "**Notify irregularities**" will lead the user to the OFIS page at DG AGRI, where the authority has to complete a form to provide details of the irregularity. Completing this will also change the status of the COI in TRACES NT to "**OFIS Irregularity**":

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re you sure you w	ant to notify an irregular	ities to the OFIS system?
		× Return to certificate
COI.AL.201	8.0000052	
COI.AL.201	8.000052	Current Islaux: OFIS BHREGULARITY Next: OFIS regularities
ertificate	8.0000052 withority (name, address and co	Current Islaw: OFIS BRIE GULARITY de) 2 Procedure
COI.AL.201	8.0000052 withority (name, address and co	Current status: OFIS IRREGULARITY     Next: OFIS regularities     de)     2 Procedure     Council Regulation No 834/2007, Article 33 (2)

The certificate is now blocked and no user can modify it with the exception of an EC Admin, who has the possibility to unblock the certificate so that it can re-enter its workflow.

In case an EC admin blocks a COI, which also sets the COI status to "**OFIS Irregularity**", for a COI with several lines the EC admin may indicate, which of the lines are affected by the irregularity. When opening the COI, a button is available at the bottom, which will display the information:

💷 View irregularities



The information provided for blocking this COI:

_		ected by irregula	arities:			
	PRODUCTS O TIGE Floor, r ( the products (1102.95)	F THE MILLING II neal and powder o of Chapter/8 Of the products o	IDUSTRY; MALT; If the dried legumin If Chapter(8 las	STARCHES: INUL ious vegetables of	IN: WHEAT GLUTEN heading[0713, of sago or of roots or tubers of heading[0714]or	
	EDIBLE FRUI 0003 Banan 0003 10 0003	TAND NUTS; PEE as, including plant Plantains Florto: Fresh	L OF CITRUS FR ains, fresh or dried	UIT OR MELONS		
	EDIBLE FRUI 0003 Banans 6003 90 0003	TAND NUTS, PEE as, including plant Others ECEC Dried	EL OF CITRUS FR ains, fresh or dried	UIT OR MELONS		
1 0	2N code	Trade name	Lot number	Number of packages	Net weight	
0	1106 30 10 21 bananas			10 Bag	10 Kg	
2 0	N code	Trade name	Lot number	Number of packages	Net weight	
F	0803 10 10 Fresh			10 Bag	10 Kg	

#### New Feature - Free text field in box 20 for endorsing authority comments

A new communication box has been introduced in box 20. This box can be used to communicate the reason, why a COI is not cleared or only cleared as conventional. It can also be used for a Base for Extract to indicate which part of the consignment should be mentioned in an extract that will be not cleared or cleared as conventional.



Conclusion:	Clear	able Clearable as Not Clearable Clearable As Not Cl	earable
Optional reasons for selected conclusion	Create two extra 1st base of extra 2nd base of extra	icts: htt with <u>CN</u> code lines 1 (lot <u>num</u> : 4444) and 2 (lot <u>num</u> : 4458754) - will be cleared act with <u>CN</u> code line 3 (lot <u>num</u> : 789789795) - will be rejected	
Date of signature	Full name Email Authority Deality Address Country	ANTWERPEN DAE DOUANEKANTOOR (Border Control Post) ELLERMANSTRAAT 21 (NOORDSTERGEBOUW) 2066 Antwerpen	on Verification as DAE DOUANEKANTOOR
tional reasons for selected conclusion	Cre 1st 2nd	ate two extracts: base of extract with <u>CN</u> code lines 1 (lot <u>num</u> : 4444) and 2 (lot <u>num</u> : 44587 base of extract with <u>CN</u> code line 3 (lot <u>num</u> : 789789798) - will be rejected	754) - will be cleared

Note! The optional reasons box is visible only in TRACES NT and it does not appear in the PDF.

#### **New Feature - Bulk clearance for COI Extracts**

The **endorsing authority** now has a possibility to clear multiple COI extracts at once.

In order to sign the extracts, **the endorsing authority** needs to sign in to TRACES NT and they will see a new dashboard, which will display all existing **Base for Extracts** with COI extracts that this endorsing authority will need to sign.

Click on "Dashboard" and proceed to "COI extract bulk validation":



	TRACE	S
Convention	TRAde Cor	ntrol and Expert System
TRACES NT > Ind	ex	
Certificates -	🔁 Dashboards 🔹 🏛	[ Organisations + 🕆 Reference data + 🗠 Analytics + 🖉 Publications
	My pending certificates search all pending certificat valid user bodies of current user Certificate Bulk Validati Search all certificates eligib took validation by current lo user Col extract bulk clearan Search for Coll extracts eligib bulk clearance by current lo user Pending follow up Certificates that regure too	Int, Endorsing Authority USER ONE.         Int, Endorsing Authority USER ONE.         Interfore         Interfore
	Do not rely     Do not rely     being regula Latest News	on this environment to perform training events. on data entered here remaining over time - the database is arty refreshed.

On the new dashboard, the list of COI which have at least one extract that needs to be signed is displayed, including the number of extracts to be signed:

🙆 Dashboards 👻	1 Organisa	tions 👻 📲	Reference data 👻	Analytics 🕶	Publications +	🕑 Help 👻			
Coi extrac	t bulk c	learance	2						C Refresh
									Showing 1 / 1 results.
Reference nur	nber	Countries of origin	Country of destination	Country of cleara Point of entry	nce / Exporter	Importer	Last update on	No. of extracts to be signed	
COLBR.20	20.0000436	🖬 Brazil	Belgium	Belgium	Testing exporter	Importer For CSW CERTEX (test1)	+02:00 CEST	3	Sign extracts

By clicking on "Sign extracts" a new window pops up displaying the summary of COI the extracts:



0.	Operator h batches	aving split the original consi	gnment into	Importer		Countries of origin	Country o entry	f clearance / Point of
	Importer Fo	r CSW-CERTEX (test1)		Importer For CSW-C (test1) Belgium	ERTEX	🖬 Brazil	ANTWERI DOUANER Belgiur	PEN DAE KANTOOR 11
	Description	of products		4444	B111777	877	78	
	0902 30 00	good tea		4458754	100000	100		
	Consignee				* Co	nclusion and sign	ing user body	1
	Name @	Importer For CSW-CERTE	(test1) Vais	CP Edit 1		Clearable		
	Country	Belgium	ISO BE	Q Advanced		Clearable as C	ooventional	
			Code	E Clear		Not Clearable		
	Address	of consignment delivery				Sign as ANTWEF	RPEN DAE D	DUANEKANTOOR
	City	Q Please provide city n		C? New 6	»-			
				E Ciear				
	Address			4				
	Importer Fo	r CSW-CERTEX (test1)		Importer For CSW-C (test1)	ERTEX	🖬 Brazil	ANTWERI DOUANER Belgiur	PEN DAE GANTOOR 11
	Importer Fo Belgium Description	r CSW-CERTEX (test1) of products Great tea		Importer For CSW-C (test1) Belgium 789789798	ERTEX	Brazil	ANTWERI DOUANER Belgiur	PEN DAE KANTOOR 11
6	Adress Importer Fo E Belgium Description (1992.49.00 Consignee	r CSW-CERTEX (test1) of products Great tea		Importer For CSW-C (test1) Beiglum 789789798	ERTEX	Brazil	ANTWER DOUANER Belgiur	PEN DAE KANTOOR 11
	Address Importer Fo E Belgium Description Cost240 00 Consignee Name ()	r CSW-CERTEX (test1) of products Great tea Importer For CSW-CERTE)	(test1)	Importer For CSW-C (test1) Belgium 789789798	ERTEX	Brazil	ANTWER DOUANE Belgiur	PEN DAE GANTOOR 19
(	Address Importer Fo Belgium Description 000240 00 Consignee Name (1) Country	r CSW-CERTEX (test1) of products Great tea Importer For CSW-CERTED	K (test1) Mara ISO BE	Importer For CSW-C (test1) Belgium 789789798	ERTEX	Brazil  Reclusion and sign  Clearable Not Clearable Not Clearable	ANTWER DOUANE Belgiur Ing user body	PEN DAE CANTOOR 19
2	Address Importer Fo Belgium Description 002240 00 Consignee Harrie (1) Country	r CSW-CERTEX (test1) of products Great tea Importer For CSW-CERTED Belgium	(test1) VIII ISO BE Code	Importer For CSW-C (test1) Belgium 789789798	ERTEX	Brazil Brazil Clearable Clearable Not Clearable Sign as ANTWEF	ANTWER DOUANE Belgiur Ing user body onventional	PEN DAE CANTOOR 70

In this window, the endorsing authority user needs to **select the conclusion** (**clearable/not clearable/clearable as conventional**) for each of the extracts. By clicking on the blue "**Sign all**" button, and all extracts will be signed at once:



Importer For	CSW-0	ERTEX (test1)		Imp (tes	orter For CSW-CER 11) Belgium	TEX	🖾 Brazil	ANTWERP DOUANEK Belgium	EN DAE ANTOOR	
Description	of produ	icts								
0902 40 00		Great tea	1		789789798	2 Bag		1Kg		
Consignee						* 0	onclusion and	signing user body		*
Name ®	Impor	ter For CSW-CERTE	K (test1)	Valid	G? Edit 🔺		Clearable	1		
Country	Be	lgium	ISO Code	BE	Q Advanced		Clearable	as Conventional		
					a Clear		) Not clean	aure		
Address	of consig	gnment delivery					Sign as ANT	WERPEN DAE DO	UANEKANTOOR	
City	Q				C New Q	] —				
Address					📋 Clear					

A green pop-up window appears informing that the COI extract bulk clearance is completed:

Coi extract bulk of	Coi extract bulk clearance								
Success: Col extract t	Success: Coll extract bulk clearance completed with 3 certificate(s) signed and 0 certificate(s) in error.								
							SI	howing 1 / 1 results.	
Reference number	Countries of origin	Country of destination	Country of clearance / Point of entry	Exporter	Importer	Last update on	No. of extracts to be signed		
COLBR.2020.0000436	🖾 Brazil	Belgium	Belgium	Testing exporter	Importer For CSW- CERTEX (test1)	14/10/2020 13:32 +02:00 CEST	0	🖉 Sign extracts	

**Note!** If the endorsing authority user is enabled to digitally sign COI, this option will be available here for bulk signing the extracts.

**Note!** It is now possible for the TRACES Helpdesk to **clear COI extracts conclusion** of a wrongly signed extract. Once the COI extract conclusions are removed, the extract COI will appear in "**Certificates**" – "**COI**" section with the status "**Submitted**".

After the extracts are endorsed, the **first consignee** will have to acknowledge them individually.



## **INTRA**

#### **Creation of Veterinary offices in TRACES NT**

Creation of an Veterinary office (former Official Private Veterinary)

In the wake of the new Animal Health Law which will entry into force in April 2021, Veterinary Offices, VO, will need to be created.

It is now possible for the *veterinary Local Authority Units*, LAU, to create Veterinary Offices (VO), formerly designated in TRACES Classic as Official Private Veterinary.

Please proceed to the menu Organisation, then click on Veterinary offices:



The screen will provide you with the classical search possibilities:

Veterinary Offices		+ New Veterinary Office
Search:	Please provide name, identifier Q Search	Advanced search >
		4

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Including an advanced search per country and status, valid or deleted:

Veterinary Offices					+ New Veterinary Office
Search:	Please provide name, ider	tifier		Q Search	Advanced search 🔺
Countries:	FR x Search				
		X Clear Add country group -			
Status:		~			
	Deleted				
Name ↓ <u>^</u>	Country	Full Address	Identifier	Status	
Test VO	France	Rue 4 59034 Lille	FRV0100	Valid	

A Local Authority Unit officer is allowed to create a Veterinary Office in its own country only.

Click on "New Veterinary Office":

Veterinary Offices		+ New Veterinary Office
Search:	Please provide name, identifier Q Search	Advanced search 🔺
Countries:	FR x Search	
Status:	x Lear Add country group +	

Then enter the relevant information about the colleagues, 1, the office address, and the associated authorities:

Create New V	/eterinary Office						8	Create
Veterinary Office Det	ails			Address 2				
Name	Enter a name		*	Country	No country selectio	n 🗸	0	*
	Confidential			Region				
	Control Allowed Manually Assigned			City	Q Please provide city n	ame, postal code		*
Status	✓ Valid			Street				*
Identifier	Enter a name							
Phone 🗸	+32 21 12 10 01	☆ +					11	
				Coordinates	Latitude	° / Longitude	•	
Associated Authoritie	s 🖗					3 + Add Associa	ted Aut	thority
Name	Address	Role		Code	Competence			

- 1- Enter the name of the veterinary officer, identifier and phone number. You can allow confidentiality and like in TRACES Classic tick "control allowed" and "manually assigned".
- 2- Enter the address information



#### 3- Please select first your own LAU.

There is a possibility to specify additional authorities in case the veterinary officer would be endorsing responsibilities for different Local Authority unit, e.g. in adjacent areas.

#### Click on Add associated authority:

Associated Authorities ?					+ Add Associated Authority
Name	Address	Role	Code	Competence	Ŭ

#### Then on advanced search:

[ø en] di	irectory.vete	rinary.office.control.	authorities.s	election.modal.title			×
	Search:	Please provide nar	ne, address, c	ity	Q Se	arch Advanced se	arch ►
Name	Cou	untry A	ddress	Role	Code	Competence	$\overline{\mathbb{O}}$
.1			Start sea	rching with Search but	ton.		
51							
S							Close
T							
[ø enj aire	ectory.vetern	nary.office.control.a	iuthorities.se	election.modal.title			×
	Search:	finistère			Q Se	arch Advanced se	earch 🔺
	Country	France (FR)	~ @	Role		~	
Name		Country	/ Address	Role	Code	Competence	
Finistere		France	2 rue de Kérivoal 29334 Quimper	Local Authority Unit	FR02900	Animal By-Products Food Veterinary CHED-A rw CHED-P r	C Select

EU IMPORT - AJL W

European Commission TRACES TRAde Control and Expert System

#### Click on "select":

Jean DUP	ONT Valid					A Save
Veterinary Offic	ce Details			Address		
٩	Vame Jean DUPONT		*	Country	France (FR)	~ @ *
	Confidential			Region	Finistère [FR-29], Brittany [FR-BRE], Metro	opolitan France .
	<ul> <li>Control Allowed</li> <li>Manually Assign</li> </ul>	ed		City	Q 29287 Brest	*
Last upda	te on November 10, 2020 1: a minute ago.	2:55:33 +01:00 CET		Ext.	[A-Z]{2}	
s	Status Valid			Street	Rue du moulin, 64	*
Ide	ntifier FR132456v					
Pho	ne 🗸 🤇 .		☆ +			#
				Coordinates	Latitude     · / L	ongitude °
Associated Aut	horities ?					+ Add Associated Authority
Name	Address	Role	Code	Competence		
Finistere	2 rue de Kérivoal 29334 Quimper I France	Local Authority Unit	FR02900	Animal By-Products F CHED-A rw CHED-P r	Food Veterinary	<b>a</b>
						2

## There is a possibility to add another adjacent LAU:

Jean DUPON	T Valid						Save
Success: [ø en]	] directory.veterinary.office.save.succ	ess (Jean DUPONT)					×
Veterinary Office Det	ails		Addre	ss			
Name	Jean DUPONT		*	Country	France (FR)		•
	Confidential			Region	Finistère [FR-29], Brittany [FR-BRE], Metropolitan France.		
	Control Allowed     Manually Assigned			City	Q 29287 Brest	× *	•
Last update on	November 10, 2020 12:57:45 +01: a few seconds ago.	00 CET		Ext.	[A-Z]{2}		
Status	✓ Valid			Street	Rue du moulin, 64	•	*
Identifier	FR132456v						
Phone 🗸	<b>u</b> .	☆ +		Coordinates	Latitude     e / Longitude	•	
Associated Authoritie	rs <b>€</b>				+ Add Associa	ted Autho	ority
Name	Address	Role	Code	Competence			
Cotes-D'Armor	9, rue du Sabot - BP 34 22440 Ploufragan III France	Local Authority Unit	FR02200	Animal By-Pro	ducts Food Veterinary CHED-P rw EU IMPORT r- AJL rw	l	Ê
Finistere	2 rue de Kérivoal 29334 Quimper 1 France	Local Authority Unit	FR02900	Animal By-Pro	ducts Food Veterinary CHED-P rw EU IMPORT - AJL rw	l	Î



At that stage the veterinary officer(s) can apply to be linked to the newly created structure Veterinary Office, VO.